Results Framework for DPPA's 2020-22 Strategic Plan Mid-Year Data (As of 30 June 2022)

Theory of Change: If DPPA deploys the full range of its resources based on cross-cutting analysis, in collaboration with others within the UN system, and in partnerships with regional, national, and local stakeholders, drawing on an internal culture shaped by a commitment to learning and innovation, it will contribute to the prevention and resolution of violent conflict and to sustainable peace.

Note: Indicators in blue also appear in the Peace and Security Benefits Tracker

Goal 1:Contribute to preventing and resolving violent conflict and building resilience



Strategic Objective 1: Action-oriented analysis Risks and assumptions: conflict trends will continue along current trajectories identified in key documents (e.g. Pathways for Peace), including the important role of transnational criminal networks, role of violent extremism, impact of climate change, and the role of socio-economic drivers of conflict; sufficient resources will be made available for DPPA to undertake early warning analysis on priority areas, though resources will not grow beyond current levels; key parts of the UN system (e.g. EOSG) will continue to treat DPPA as a lead entity for identifying early warning risks; geopolitical and national positions are likely to remain a challenge to some multilateral efforts at conflict prevention. DPPA has the capacity to conduct multidimensional analysis internally, but is intending to increase its collaboration with other departments and partners. Despite the pandemic, DPPA will continue to adapt and deliver against its Strategic Plan.

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
	% of analyses (background notes, notes to the USG/SG, background papers to EC/DC, RMRs, SG reports) that are gender sensitive	All Divisions incl. PBSO	All divisions PBSO	51%	70%	55%
1.1 Strengthened	# of analyses (background notes, notes to the USG/SG, background papers to EC/DC, RMRs, SG reports) that consider regional dimensions	All Divisions incl. PBSO	All divisions PBSO	2108	2625	1938
multidimensional, gender-sensitive analysis	% of analyses (background notes, notes to the USG/ SG, background papers to EC/DC, RMRs, SG reports) incorporating inputs from other UN departments including OCHA, the human rights and development pillars, and/or the World Bank	All Divisions incl. PBSO	All divisions PBSO	57%	63%	67%
	# CCAs informed by departmental analysis	Regional divisions, PMD	Regional divisions, PMD	21	23	23
1.2 DPPA proposes courses of action	# of analyses (background notes, notes to the USG/SG, background papers to EC/DC, RMRs, SG reports) that contain recommendations for action	All Divisions incl. PBSO	All divisions PBSO	1239	1411	975



Strategic Objective 2: Inclusive peacemaking Risks and assumptions: National, regional, and local entities will continue to seek partnership with DPPA, though sovereignty barriers to action within countries will remain a recurrent challenge; DPPA will be tasked to monitor and report upon a wide range of settings, regardless of whether the department leaderships views it as a priority for prevention and sustaining peace; conflict dynamics will continue to be influenced by socio-economic and political marginalization, requiring inclusive peace processes; national peace processes will continue to suffer from a lack of inclusivity, especially women; DPPA will receive sufficient resources to deploy capacities to support inclusive peace processes in priority areas; the UN will continue to receive requests for technical and political support, including in the area of elections; core resources for DPPA's work will be provided in a timely manner to facilitate the deployment of its capacities. Despite the pandemic, DPPA will continue to adapt and deliver against its Strategic Plan.

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
2.1 Reliable, rapid response mechanism to support inclusive political processes	# of deployments upon request of staff/advisors for assistance in mediation, conflict prevention, constitution-making and electoral assistance	MSU statistical tracking system; Divisions own tracking systems; PBSO tracking system	PMD-MSU Regional Divisions EAD PBSO	139	299	156
2.2 Increased	% of UN mediation support teams with women members	PMD-GPS tracking system	PMD-GPS	100%	100%	100%
inclusivity and representation	# of UN-(co-)led peace processes in which gender expertise was requested and provided	SG reports on WPS	PMD-MSU and GPS	4	4	4
of women in UN peacemaking efforts	# of delegations of conflict parties with senior women members in mediation processes where the UN is a (co)-lead	SG reports on WPS	PMD-GPS	13 out of 27 conflict party delegations	11 out of 15 delegations (73%)	8 out of 15 (53%)
2.3 Increased	% of DPPA supported political processes where civil society has direct representation, formal observer status or is officially consulted	Divisions' and PBSO's tracking system	All Divisions PBSO	79%	87%	80%
inclusion of vulnerable and marginalized peoples	# of Local Peace Initiatives (LPI) projects funded by MYA	OUSG-Donor Relations tracker	OUSG-Donor Relations	5	5	4
in political processes	Amount of PBF funding per annum dedicated to the Gender and Youth Promotion Initiative	PBF database	PBSO	\$40m	\$60m	All GYPI funds are approved during the second half of the year.
2.4 Timely and coordinated response provided	% of cases where a coordinated response was communicated to member states within 4 weeks of EAD receiving their requests for electoral assistance	EAD database	EAD	75%	85%	90%
to requests from Member States for UN electoral assistance to organize and conduct	% of cases where electoral needs assessment and desk review reports include gender analysis and specific recommendations on how best to include women in electoral/political processes	NAM and desk review reports	EAD	100%	95%	100%
inclusive, transparent and peaceful elections	% of cases where electoral needs assessment /desk review reports include analysis on election related violence and recommendations on how best to mitigate them	NAM and desk review reports	EAD	90%	95%	100%



Strategic Objective 3: Sustained peace

Risks and assumptions: Conflict dynamics will continue to be influenced by socio-economic and political marginalization, requiring inclusive solutions; national processes will continue to suffer from a lack of inclusivity, especially women; IFIs will prioritize peacebuilding and conflict prevention and partnering with the UN; DPPA will receive sufficient resources to deploy capacities to support inclusive peace processes in priority areas. Despite the pandemic, DPPA will continue to adapt and deliver against its Strategic Plan.

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
3.1 DPPA-supported processes are increasingly linked to long-term planning and capacities	#DPPA-supported processes receiving PBF funding	Regional divisions	Regional divisions	34	40	16
3.2 Sustained, sufficient and predictable support and resources for peacebuilding activities	ufficient and redictable support nd resources or peacebuilding		PBSO	\$190m	\$295m	Pipeline as of June 30 consisists of \$15.5 million in approvals, and an additional \$4.2 million at the signature + \$91.2 million at the prodoc stage.
3.3 Mission transitions/exit are well- coordinated, effective, and linked to long-term sustainable capacities	Amount (%) of PBF funding dedicated to mission transitions	PBSO	PBSO	22%	35%	42% (based on approved projects only)
		UN Transitions Project	UN Transitions Project	42.8%	100%	86% (6 out of 7)
	% of transition strategies that include gender/WPS perspectives	UN Transitions Team	UN Transitions Team	100%	100%	100%

Goal 2: Strengthen partnerships for prevention and resilience



Strategic Objective 4: Support to UN bodies and organs Risks and assumptions: Key factors will be the Security Council willingness and ability to take unified decisions on key peace and security issues; willingness of other UN entities to collaborate with DPPA in producing joint analysis; sustained political support for the UN to support the rights of historically marginalized peoples. Despite the pandemic, DPPA will continue to adapt and deliver against its Strategic Plan.

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
	Degree of satisfaction expressed by participants in induction workshop facilitated by SCAD for incoming Council members [November each year]	Survey	SCAD	97%	≥95%	N/A
4.1 Security Council practice is efficiently supported and Member States' understanding of	Degree of satisfaction expressed by members of the Security Council, as well as the wider United Nations membership, with the services provided by the Security Council Affairs Division [Monthly Presidency]	Survey	SCAD/SCSB	100%	≥95%	95%
practices/procedure is enhanced	% of experts recommended to the sanctions committee within 2 weeks of the renewal of the mandate of a sanctions regime and within six weeks of a new sanctions regime [measure of implementation of SC decisions]	Documents submitted to Committee	SCAD/SCSOB	83%	100%	100%
4.2 The PBC provides effective support	# instances where PBC advises the SC with PBSO support	PBS0 tracker	PBSO	3	4	5
to Member States' peacebuilding efforts	# country or regional situations in which PBSO supports PBC engagement	PBS0 tracker	PBSO	10	10	7
4.3 The Committee on the Exercise of the Inalienable Rights of the Palestinian People is enabled to effectively and efficiently implement its Mandate	Capacity building: # seminars, workshops and training events for staff of the Palestinian Government (Number of days)	DPR Workplan	DPR	45	45	1
	Servicing the Committee: Degree of satisfaction expressed by members and observers of the CIERPP	DPR Survey	DPR	≥95%	≥95%	The annual survey is conducted in the 2nd half of the year
4.4 The Special Committee on Decolonization is enabled to effectively and efficiently implement its mandate	# of meetings serviced and supported by the Decolonization Unit	Reports of relevant bodies and Decol tracker	DECOL	63	63	25
	Degree of satisfaction expressed by members of the Special Committee and the wider UN membership with regards to the support provided by the Decolonization Unit	Statements by Member States	DECOL	100%	100%	100%



Strategic Objective 5:

Strengthened partnerships at the regional, national, and local level

Risks and assumptions: willingness of regional, national and local actors to engage in partnerships with DPPA; capacity of regional organizations to jointly support strategic development; resources provided to DPPA to provide technical and substantive assistance to partners. Despite the pandemic, DPPA will continue to adapt and deliver against its Strategic Plan.

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
5.1 Strengthened partnerships with regional	#joint strategies and interventions with the regional organizations	Regional divisions' work plan	Regional divisions	30	39	35
and sub-regional organizations builds joint capacity to respond to risks	# of cases where South-South and Triangular cooperation is promoted through joint initiatives with regional organizations	Regional Divisions' and EAD's work plan	Regional divisions EAD	15	27	12
5.2 AU-UN partnership increases joint capacity to respond to risks	Implementation of the agreed targets under the Joint United Nations-African Union Framework for an Enhanced Partnership in Peace and Security: # of joint high-level visits # of briefings by UN officials to AU PSC	AUPT	AUPT	1 40	3 55	0 22
5.3 Strengthened partnerships with International Financial Institutions	# of joint analysis and assessments with World Bank in priority country contexts	PBSO work plan	PBSO	2	4	3
5.4 Strengthened national/local capacities to reduce risk of violence in priority settings	# of countries with UNDP-DPPA Joint Programme initiatives to build national capacities	Joint Programme Results Framework	UNDP-DPPA JP Team	56	65	73
5.5 Strengthened partnerships with civil society	# civil society organizations with which DPPA engages	All divisions and PBSO	All divisions and PBSO	217	449	641

Goal 3: Achieve a learning, innovative working culture that takes forward the vision of the Secretary-General



Strategic Objective 6: DPPA is a learning, innovative and flexible department

Risks and assumptions: Willingness of peace and security pillar leadership to dedicate resources to learning and innovation; willingness of DPPA managers to incorporate new lessons learned into their workplans; resources provided to the innovation and climate-security units. Despite the pandemic, DPPA will continue to adapt and deliver against its Strategic Plan.

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
6.1 Institutional learning and accountability practices inform more effective responses to prevent conflict and sustain peace	 # of learning and accountability exercises undertaken annually across the Department, and where appropriate jointly with DPO : i. Lessons learned studies ii. Evaluations iii. Brownbag discussions 	Policy and Practice Database (PPDB)	GLU/PMD, OUSG, PBSO	i. 12 ii. 2 iii. 33	i. 6 ii. 1 iii. 22	i.1 ii.2 iii. 24
	% of self-evaluative exercises that have recommendation implementation trackers	GDLSC Updates	OUSG with PMD/GLU support	50%	90%	100%
6.2 DPPA produces and implements evidence -based policies and guidance	# of policy materials and guidance developed, updated and digitized materials	PPDB	GLU/PMD, PBSO	55	9	17
6.3 DPPA prioritizes innovative approaches and adapts to new challenges	# of innovative initiatives (including through XB funding)	All Divisions incl. PBSO	All Divisions PBSO	30	64	59
	# cross-sectoral and cross-disciplinary partnerships formed in support of innovative approaches (e.g. technology partners, other private sector collaborators, academia, etc.)	PMD work plan	PMD- Innovation Cell	2	5	17



Strategic Objective 7: A collaborative work culture and enabling work environment

Risks and assumptions: Willingness of UN pillar leadership to demand cross-pillar collaboration; willingness of other pillars to develop/ implement partnerships; willingness of DPPA leadership to allocate sufficient resources for staff training; current organization-wide limits on mobility will place limits on DPPA's ability to support staff movement; readiness of staff to undertake new training and take on board the call for coherent work across the pillar. Despite the pandemic, DPPA will continue to adapt and deliver against its Strategic Plan.

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
7.1 DPPA work environment is characterized by inclusivity, well-	% of favorable ratings on a) empowerment and b) gender and diversity categories in staff engagement survey	Staff engagement survey	OUSG	a) 47% b) 44%	a) N/A (biennial survey) b) N/A (biennial survey)	a) 59% b) 48%
informed staff, collaboration, respect, and accountability	% of favorable ratings on the categories of a)continuous improvement and b) career and development in the staff engagement survey	Staff engagement survey	OUSG	a) 55% b) 25%	a) N/A (biennial survey) b) N/A (biennial survey)	a) 60% b) 37%
7.2 DPPA prioritizes, encourages, and supports staff in obtaining, strengthening and applying wide- ranging skills and competencies	# of DPPA staff completing DPPA- led or supported trainings (WPS, E-analytics, Drafting for Political Analysis, Induction, electoral violence etc.)	PMD and EAD	PMD and EAD	365	287	127
7.3 DPPA implements the Secretary- General's gender parity strategy	% of senior mission leaders (SRSGs, deputy SRSGs, HoMs, deputy HoM, Special Coordinators (SC) and deputy SCs) that are female	ODCSS/LSS	ODCSS/LSS	41% (12 women out of 29 DPPA Heads and deputy Heads of mission)	50%	46% (12 women out of 26 Heads and Deputy Heads of Missions)
	Annual gender parity targets at each career level achieved	Gender parity database (DPPA and DPPA-DPO shared structure)	ODCSS	DPPA D2:75% D1: 30% P5: 44% P4: 48% P3: 71% P2: 50% DPPA-DPO Shared Structure D2: 33% D1: 46% P5: 43% P4: 55% P3: 53% P2: 81%	50% across all levels	DPPA (female%) D2:67% D1: 25% P5: 48% P4: 53% P3: 47% P2: 57% DPPA-DPO Shared Structure (female %) D2: 38% D1: 60% P5: 50% P4: 51% P3: 51% P2: 69%

Expected Accomplishment	Indicators of Achievement	Source(s) of data	Responsible Division for reporting	Baseline (end 2019)	Target 2022	Mid-year results (as of June 30, 2022)
7.4 DPPA has a geographically diverse workforce	% of appointments from un-/under- represented Member States, or Member States at risk of becoming under-represented	OHR records	Executive Office	10%	50% of geographical appointments are from un- or under- represented Member States	33% (2 out of 6)
7.5 Increased public awareness of DPPA's impact	communications outputs and audience reached a) Subscribers to Politically Speaking online magazine b) Subscribers of This Week in DPPA newsletter c) Twitter Followers d) YouTube Videos e) Visits to DPPA websites f) Timely inputs provided to the Office of the Spokesperson for the Secretary-General g) Intranet support requests received and responded to	OUSG- Communication Team tracker	OUSG- Communica- tion Team	a) &9,200 b) &380 c) &DPPA: 301,300 /USG: 4,300 d) &18 videos produced e) &14,500 monthly visits/ total of 174,350 visits f) &435 inputs g) &75 support requests	 a) &9,800 b)&1,200 c) &DPPA: 373,000/ USG: 22,000 d) &10 e) &21,000 monthly visits f) &500 inputs g) &50 support requests 	 a) 9,621. Additionally, the magazine is sent to over 3,000 staff at headquarters and in Special Political Missions. b) 1,008 c) DPPA:372,303 ; USG: 19,003 d) 3 videos uploaded on DPPA's YouTube playlist. Additionally, 25 vidoes (interviews, video messages, SBT videos, Electoral series etc.) filmed/produced or are currently in production. e) 30,041 visits per month f) In support of the Spokesperson for the Secretary-General and of the media activities of the Secretary- General himself, provided over 260 inputs (statements, if- asked language, media talking points) g) 47 support requests